

LexisNexis® Due Diligence & Monitoring Solutions

# RISK MITIGATION BUYER'S GUIDE

FINDING THE RIGHT FIT  
FOR YOUR WORKFLOW



# SUCCESSFULLY MANAGE RISK



**T**echnological leaps—in communication, transportation and more—have enabled companies to build global supply chains and extensive third-party networks to help achieve their goals. But along with the advantages, globalisation increases exposure to reputational, regulatory, financial, and strategic risk. Bad news goes viral in an instant. The compliance landscape changes from one country to the next. Unseen threats lead to financial losses and stunted growth.

**Do you have the right technology in place to successfully manage risk?**



# EXTERNAL AND INTERNAL PRESSURE TO ENHANCE RIS

## External

Achieve transparency across global supply chains and third-party networks and improve overall risk awareness



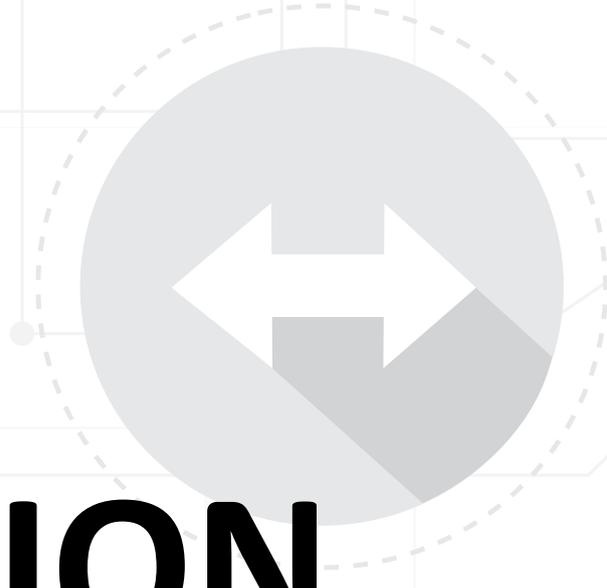
Manage the evolving regulatory landscape—and aggressive enforcement—to address bribery and corruption, money-laundering, terrorism, modern slavery, and environmental sustainability



Meet Corporate Social Responsibility (CSR) expectations from investors, shareholders, and consumers



# SURES RISK MITIGATION



## Internal

Empower front-line staff to uncover actionable insights to support proactive, rather than reactive, risk management



Make the most of **opportunities** for growth while reducing risk exposure



Reframe procurement from tactical expense to strategic value



# THE RISK MITIGATION PROCESS

LexisNexis Solutions

BatchNameCheck

Diligence spotter

Lexis Diligence®

LexisNexis® Entity Insight

LexisNexis® Content Integration

Outsourced Services  
(in partnership with The Red Flag Group®)

IntegraWatch®

IntegraCheck®

Compliance Advisory Services

66% of consumers are willing to spend more on



SCREENING & ASSESSMENT	DUE DILIGENCE & INVESTIGATION	ONGOING MONITORING
✓		✓
✓		
✓	✓	
		✓
✓	✓	✓
✓		
	✓	
	✓	

a product if it comes from a sustainable brand<sup>1</sup>

# ARE YOU MISSING POTENTIAL RISKS?

Use this Buyer's Guide to identify potential gaps in your risk mitigation process and the tools that can help you bridge those gaps to better protect and grow your business.

1

**Do you find yourself using multiple content sources and tools to conduct due diligence on customers, suppliers, or other third parties?**



**Yes:** Capture a comprehensive view of risk—all in one place. Learn how Lexis Diligence® can help you conduct due diligence investigations and generate comprehensive reports on people, companies, and countries by aggregating timely, relevant content from a broad range of news, company, industry and market data, legal information, sanctions, watchlists and PEPs.



**No:** Go to next question.

2

**In monitoring for adverse news and other risk red flags related to your supply chain or third-party network, do you find yourself overwhelmed with too much information and too few insights?**



**Yes:** Reduce information overload by customising your risk monitoring to your organisation's unique, PESTLE-based risk profile. See how risk visualization and targeted RSS feeds in LexisNexis® Entity Insight enable you to deliver timely, relevant information—right to the people who need it—so you can proactively mitigate risk.



**No:** Go to next question.

3

**Are you able to efficiently vet and onboard clients, suppliers, and third-party agents with confidence?**



**No:** Consider automating the screening and risk assessment process. Find out how technology like BatchNameCheck and Diligence spotter can accelerate your time to insight so you can make informed decisions about the third parties your company depends on.



**Yes:** Go to the next question.

---

4

**Do you use proprietary systems for screening, due diligence and monitoring that you would like to enhance with timely news, company data, sanctions, PEPs and other relevant content?**



**Yes:** Complement your in-house data with current and archival news, legal information, market and industry data, and more. Take a look at how LexisNexis® Content Integration Solutions enable you to bring valuable data into your existing workflow to boost risk awareness.



**No:** Move to the next question.

---

5

**Have you found yourself in need of batch screening or ad-hoc, localized due diligence for high-risk regions where you have or are considering business operations?**



**Yes:** Call in experts with on-the-ground experience across the globe. Learn more about IntegraCheck® | Integrity Due Diligence, available through our alliance with The Red Flag Group®.



**No:** Move to the next question.

---

6

**Are you able to offer expert training to internal staff, as well as key suppliers and third parties, to ensure they understand compliance risks and proper procedures for mitigating such risks?**



**Yes:** Now that your staff is fully trained on mitigating compliance risk, make sure they have the technology need to screen, monitor and protect your business from risk. Explore the full line-up of enterprise due diligence and monitoring solutions offered by LexisNexis.

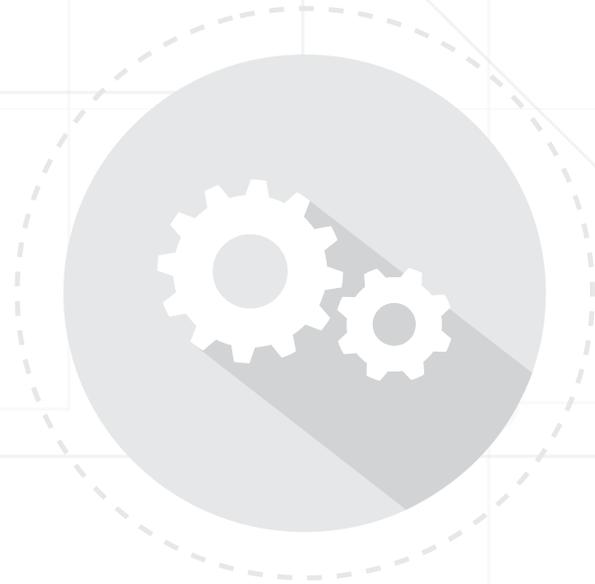


**No:** Find out more about the customised Compliance Advisory and Training services available from LexisNexis through our alliance with The Red Flag Group.

# A PLATFORM FOR TRUST MAKES PROFIT POSSIBLE



# R GOOD SSIBLE



LexisNexis offers global intelligence and flexible technology to help you identify, establish, and manage trusted relationships with the customers, suppliers and other third parties that are critical to achieving your business goals. With a more comprehensive view of risk, you gain valuable insights to informed, data-driven decisions.

**Because good profit comes  
from making the right  
strategic decisions.**

Learn how LexisNexis solutions align with a risk-based due diligence and monitoring workflow, empowering you to better mitigate business risks, accomplish greater return on investment and achieve your organisation's strategic goals.

## BatchNameCheck

BatchNameCheck brings together software and content—all in one place—so that companies can implement an efficient screening and monitoring process.

- Save time by automating the screening process and by using a built-in fuzzy name-matching algorithm that reduces false positives
- Reduce costs with scalable pricing options and minimal IT investment
- Take advantage of easy installation and secure operation with hosting behind your own firewall
- Streamline entity screening, reduce false positives, and maintain an audit trail of activity to better protect your business

BatchNameCheck addresses your need for a consistent, cost-effective, risk-based approach to screening, onboarding and due diligence.

## Diligence spotter

Diligence spotter automates the process of screening and risk assessment, allowing for ad-hoc and batch screening and monitoring of global watchlists, PEPs, news, and internal proprietary watch lists.

- Execute risk classification of clients or third parties using a risk-based approach (subject to geographical exposure, behaviour, activity, origin of client relationship, missing documents etc.)
- Capture daily screening results and reports to help identify and escalate to enhanced due diligence for entities that pose a potential risk
- Conduct unlimited manual onboarding name checks against sanctions, Interpol, and PEP lists as well as internal “blacklists”
- Generate comprehensive reports and audit data essential for regulatory compliance

Secure and quick to install behind your firewall, Diligence spotter can be complemented with optional add-ons—Lexis Diligence or LexisNexis Content Integration—to support enhanced due diligence.



## Lexis Diligence®

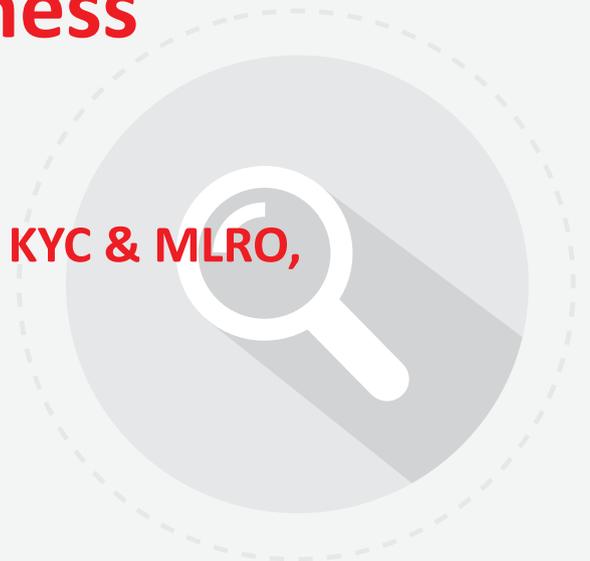
With an intuitive user interface available in multiple languages, Lexis Diligence reduces the need for training so that even novice users can perform in-depth due diligence research efficiently.

- Conduct a single search across current and archival news; sanctions, watchlists and PEPs; executive, company and industry data; country risk analysis reports and more
- Use the integrated Report Builder to maintain due diligence audit trails, complete with time and date stamps, to help satisfy regulator expectations

Lexis Diligence brings together an unmatched collection of global intelligence and easy-to-use technology to enhance your due diligence investigations.

**“Having one resource through which to find all the relevant information has completely changed the team’s ability to assess new business relationships.”**

**Lexis Diligence® User, Head of KYC & MLRO,  
Oil & Gas Industry**





## LexisNexis® Entity Insight

Improve risk awareness with tailored, PESTLE-based monitoring thousands of news and business sources in real-time. Results can be integrated into your proprietary systems via an RSS feed or viewed in a dashboard that gives you an at-a-glance understanding of risk, thanks to built-in analytics that surface risk trends among the entities and individuals you track.

- Leverage more than 15,000 licensed and 60,000 open web sources of international news and business information
- Monitor sanctions and watchlists from multiple authorities, including domestic and global sanctions, plus nearly 1,200 watchlists originating from more than 80 countries.
- Enhance results relevance with proven industry-standard PESTLE scoring and analytics
- Upload and manage your lists of suppliers and third-parties—in a single batch or on an ad-hoc basis

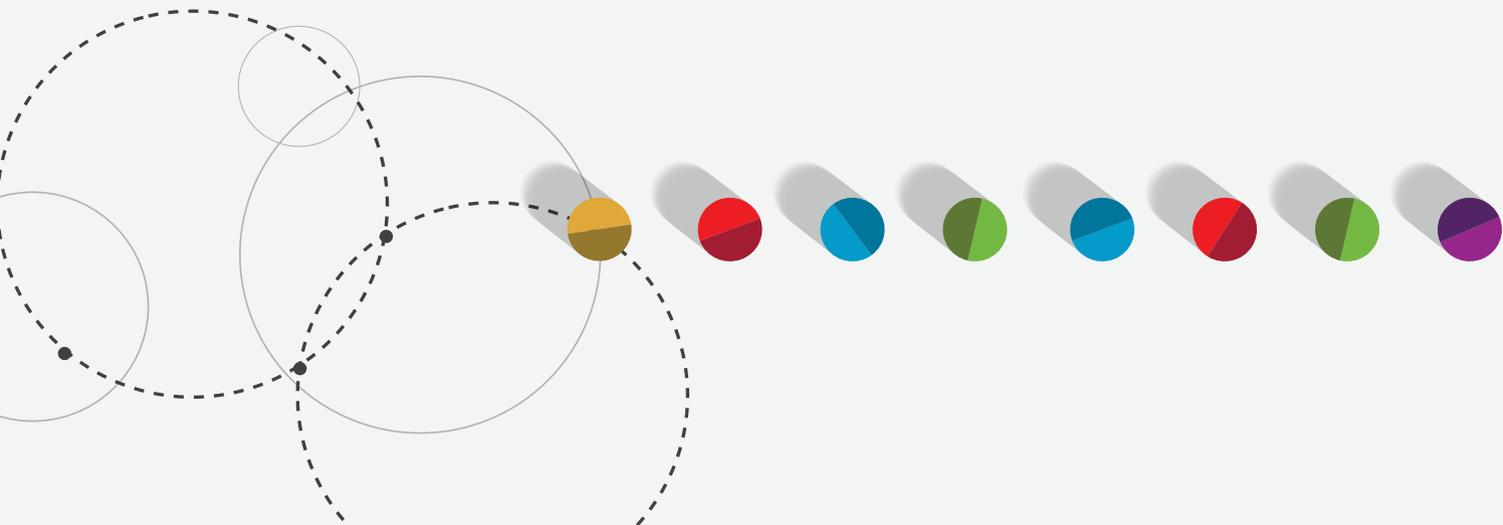
LexisNexis Entity Insight is an easy-to-implement, off-the-shelf solution that can be customised to align with your company's specific risk considerations.



## LexisNexis® Content Integration

LexisNexis Content Integration Solutions allow you to integrate comprehensive news, company profiles, biographies, sanctions and watch lists, PEP lists and legal data feeds into your organisation's proprietary due diligence and monitoring systems.

By tapping into the trusted content available from LexisNexis, companies can conduct due diligence and monitoring with greater confidence in their risk awareness.





## Outsourced Services

As part of delivering interconnected and flexible product modules aligned to the customer workflow, LexisNexis has entered into an alliance with The Red Flag Group® to support outsourced, in-depth due-diligence investigations and compliance advisory services.

The Red Flag Group partnership brings access to compliance experts, lawyers, and researchers to conduct detailed background checks, collect data, conduct analysis, and report on companies and individuals anywhere in the world.

- Anti-corruption and anti-bribery assessments related to the Foreign Corrupt Practices Act, OECD Anti-Bribery Convention, and the Bribery Act
- Third-party screening of vendors, suppliers, and others on whom your business relies
- Reputational and risk management
- Company background checks during mergers, acquisitions, and joint ventures
- Ethics and corporate social responsibility checks including coverage of human-rights violations and conflict minerals reporting
- Investigations into fraud, embezzlement, financial irregularities, and money laundering
- Other questionable practices including counterfeiting, intellectual property infringement, anti-competitive behavior or price fixing, grey market, and illegal selling.



**IntegraWatch® | Compliance Screening** engages The Red Flag Group to conduct batch searches against PEP, watch lists, news, and your own proprietary lists to help identify potential risks during onboarding or ongoing monitoring of suppliers and other third parties.



**IntegraCheck® | Integrity Due Diligence** offers five distinct levels of reporting and flexible pricing options to help you fill in the gaps in your risk mitigation process.



## Compliance Advisory and Training

In addition to conducting deep-dive due-diligence research, The Red Flag Group can provide advisory services. Guidance and coaching provided by consultants with real-world experience in rolling out global compliance programmes can help your internal compliance and legal teams:

- Develop corporate compliance strategies and third-party compliance programmes
- Handle compliance audits and investigations
- Review and update of codes of conduct
- Conduct compliance health checks

The Red Flag Group also provides a customized and interactive learning solution for compliance training.



# GET THE ANSWERS YOU NEED



## For more information:

 +31 (0)20 485 34 56

 [information@lexisnexis.com](mailto:information@lexisnexis.com)

 [lexisnexis.com/internationalsales](http://lexisnexis.com/internationalsales)

## Endnotes

1 Nielsen "Doing Well by Doing Good" Report Accessed at <http://www.nielsen.com/us/en/insights/reports/2014/doing-well-by-doing-good.html>

LexisNexis, Lexis Diligence, and the Knowledge Burst logo are registered trademarks of RELX Inc. IntegraWatch and IntegraCheck are registered trademarks of the Red Flag Group. Other products or services may be trademarks or registered trademarks of their respective companies. © 2018 LexisNexis. All rights reserved. 0118

